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Annual Report:

December 1986 - December 1987

SOFT-X-RAY UNDULATOR

S. D. Bader
Materials Science Division
Argonne National Laboratory
Argonne, Illinois 60439

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Office of Naval Research Contract #N00014-87-F-0022

FEL Applications Program

Strategic Defense Initiative Program Funding

This is the first annual report for a two-year program to base a soft x-ray undulator at the vacuum ultra-violet (VUV) storage ring at the National Synchrotron Light Source (NSLS). The undulator will be used as a radiation source by multi-institutional research teams to perform the first spin-polarized photoemission experiments in the United States. The undulator source will permit major advances to take place in materials research in the forefront area of novel, ultra-thin magnetic films and surfaces.

The activities are summarized on the attached bulletized "Chronology of Activities". Contractual arrangements with ONR were successfully completed and the undulator procurement process was initiated. Technical specifications and evaluation criteria were finalized. A synopsis to invite bidders appeared in the Commerce Business Daily (see attached). Bids were received and recommendations were made by a Technical Evaluation Team to the Argonne Source Selection Board. A purchase order will be placed in a timely fashion as internal and DOE reviews are completed.

Two auxiliary equipment issues are being pursued simultaneously. One invokes the vacuum chamber assembly that the undulator envelopes. A bid has been received for a ribbed chamber that accommodates the pole corrugations of the undulator. The other involves photon beam monitoring and feedback control for beam positional stability. Various strategies for ensuring stability have been evaluated and the task has been divided into two parts. First data will be collected with a passive monitoring system to evaluate (i) the magnitude and (ii) the frequency spectrum of the instability, and (iii) the passive measures that can be taken to minimize instabilities. Then an appropriate feedback system will be instituted as needed. These auxiliary issues involve open and continuing dialogue with NSLS staff personnel.

Related programmatic activities included: a) invited talks at major national/international meetings on "Magnetic Properties of Novel Epitaxial Films", and b) numerous publications on the subject, including invited papers and letters. Synchrotron-radiation-related activities included: a) a tour of the Photon Factory in Tsukuba, Japan, b) participation in the SRI Conference, Madison, Wisconsin, c) participation in magnetic x-ray scattering experiments at the Cornell High-Energy Synchrotron Source (CHESS), and d) appointment to the Program Committee of the upcoming conference (sponsored by NSLS and the American Vacuum Society) entitled "Vacuum Design of Advanced and Compact Synchrotron Light Sources".

Enclosed also is an invited summary for the forthcoming meeting on "FEL Applications in the Ultraviolet" sponsored by the Optical Society of America. It is entitled "Novel Magnetic Materials Research Using Free Electron Lasers". It contains a comparison of the estimated source flux from the soft-x-ray undulator of the present proposal to that anticipated from the conceptual design for futuristic FEL sources.

Chronology of Activities

- December 15, 1986
 - Start date of Contract.
- February 1987
 - Contract Reviewed at ONR for Fiscal Data.
 - Department of Energy accepts \$1,017,583 incremental funding.
- March 1987
 - Argonne Account 8C437-00 set up.
 - Iterate specifications with NSLS Machine physicists.
- April 1987
 - Undulator procurement process starts at ANL.
 - Tour Photon Factory, Tsukuba, Japan.*
- May 1987
 - ONR Contractors Meeting for SDIO/FEL Programs.
 - Commerce Business Daily Synopsis Appears.
- June 1987
 - Synchrotron Radiation Instrumentation Conference, Madison, Wisconsin.
- July 1987
 - DOE accepts \$366,417 incremental funding.
- August-September 1987
 - Proposals received from bidders.
 - Appointment to Program Committee: "Vacuum Design of Advanced and Compact-Synchrotron Light Sources", NSLS/AVS.
- October 1987
 - Technical Evaluation Team forwards Recommendations to ANL Procurement.
 - Summary prepared for Optical Society of America Topical Meeting on FEL Applications in the Ultraviolet".
- November 1987
 - DOE accepts \$198,000 final funding increment.
- December 1987
 - Magnetic X-ray Scattering Experiments at CHESS, Cornell University.

*Related (not supported).

May 15, 1987

TO: DonCarlos James PRO/201

FROM: S. D. Bader MSD/223 *SDB*

RE: CBD Synopsis

Specification for a Hybrid Undulator for the U5 Beamline at NSLS

This specification is for the design and fabrication of the basic magnetic and mechanical structure of a permanent-magnet hybrid undulator to be used on the U5 beamline on the vacuum ultraviolet VUV ring at National Synchrotron Light Source (NSLS). The device shall have a variable gap with provisions for remote adjustments incorporated into the design. The first choice for the permanent magnet materials shall be the Nd-Fe-B alloy and for the ferromagnetic pole, vanadium permendur. The specifications are such that the undulator will provide the recommended field strength and the smallest bandwidth on the first and third harmonic radiation within the emittance of VUV ring. Both the magnetic and mechanical properties are to be compatible with the vacuum chamber housing of the storage ring. The stand and mechanical structure shall also comply with the space requirements of the storage ring. End correctors, end-field clamps, and residual steering errors shall comply with the NSLS requirements for the VUV ring.

The permanent magnet materials shall have a minimum coercive force of 10.6 kOe. The recommended period is 7.5 cm and the maximum length is 2.25 m. The gap adjustment should be rugged and dependable for constant daily operation from a minimum of 2.4 cm to a maximum such that the on-axis magnetic field is less than 500 Gauss. The gap setting shall be reproducible to within 0.001 inch. The maximum deviation of the midplane field variation from a pure sinusoidal one shall be less than 2% at any gap setting to within 2 period on each end of the device. The device shall be delivered to NSLS within fifteen months from the acceptance of the order.

SDB/b



Commerce Business Daily

PROCUREMENT

MAY 27 '87

U.S. GOVERNMENT PROCUREMENTS

Services

A Experimental, Developmental, Test and Research Work (research includes both basic and applied research)

Argonne Nat'l Lab, Procurement Dept, 9700 South Cass Ave, Argonne, IL 60439-4873

A - SPECIFICATION FOR A HYBRID UNDULATOR FOR THE US BEAMLINE AT BNL The specification is for the design and fabrication of the basic magnetic and mechanical structure of a permanent-magnet hybrid undulator to be used on the US beamline in the vacuum ultraviolet XUV ring at the Synchrotron Light Source (SLS). The device shall have a variable gap with provisions for remote adjustments incorporated into the design. The first choice for the permanent magnet material shall be the Nd-Fe-B alloy and for the ferrimagnetic pole, vanadium permalloy. The specs are such that the undulator will provide the recommended field strength and the smallest bandwidth on the first and third harmonic radiation within the acceptance of XUV ring. The permanent mag net material shall have a maximum coercive force of 10.6 kOe. The recommended period is 7.5 cm and the maximum length is 2.25 m. The gap adjustment should be rugged and dependable for constant daily operation from a minimum of 2.4 cm to a maximum such that the on-axis magnetic field is less than 500 Gauss. The gap setting shall be reproducible to within 0.001 inch. The maximum deviation of the midplane field variation from a pure sinusoidal one shall be less than 2% of any gap setting to within 2 percent on each end of the device. The device shall be delivered to SLS within fifteen months from the acceptance of the order. The purpose of this announcement is to establish a bidders list. Interested organizations will be placed on the bidders list by requesting in writing Attn: Don Carlos Jones, Bldg 201-PRO. This announcement closes 15 days after publication of this notice. (14)

US Army Behavior Research, Development and Eng Ctr, Behavior Procurement Div, Ft Belvoir, VA 22200-5606

A - IMPROVED CLARIFICATION STS Sd DAAW70-87-R-0117. 7/7/87. 8/10/87. Requests due. Contact K Sterne, Contr Specialist, Attn: AMSTR-PBLL, 703/664-5148. Contr Officer, Robert Tracy. Configuration objective less than 4000 lbs of wt. 14 within 4' x 5' x 4' high envelope or provide info as to how sys could be redesigned to meet the space/alt objectives. Qty 1 sys. Performance specs include: 1. Capable of producing 50 gals per minute (GPM) at 1000 ft, with a turbidity of less than nephelometric turbidity unit (NTU) from raw water source ranging in turbidity from 0 to 150 NTUs. If the sys can, it produces an effluent water of less than 1 NTU, the owner must identify what add treatment steps would be necessary to achieve this performance. 2. Sys should not use any consumable media that would have to be replaced during the course of water production. 3. The equip will be constructed of parts that will allow sys to operate on water with a TDS dissolved solids (TDS) concentration range from 0 to 40,000 milligrams per liter. This range covers water commonly referred to as fresh, brackish, and seawater. 4. Sys will be supplied with (1) of materials necessary for sys operation and maintenance, (6) of parts required for maintenance and repairs expected within a 2000 hr operational cycle. (14)

CBD ELECTRONIC EDITION

An electronic edition of the COMMERCE BUSINESS DAILY is available from the following. Interested parties may contact them for full details. NATIONAL BID GUIDE, Inc. 6850 N. Kar殿堂, Tucson, AZ 85704. 602/575-1854. MIDNET, 5 Chile Cherry Rd, Rockville, MD 20850. 301/330-7000 or tel free 1-800/325-6871. CBD SEARCH SERVICES, INC. 13316 Route 1, Herndon, VA 22070. 703/481-6644 or tel free 1-800/280-4750. UNITED COMMUNICATIONS GROUP, 4550 Montgomery Ave, Suite 700, North Bethesda, MD 20814. 301/656-6666. SOFTWARE, a Div. of MCP Technology Inc., 56 Duxbury Rd, Gaithersburg, MD 20883. 301/293-3841 (Collect). McGRAW HILL/DATA RESOURCES INC. (DR) 24 Hartwell Ave, Lexington, MA 02173. 617/863-5100. DIALOG INFORMATION SERVICES, INC. 3460 Marconi Ave, Palo Alto, CA 94304. tel free 800/334-2364.

ACTION

INFO

COMMENT

(a) Issued 1 MAY 87. HILL 102-203. (a) 10 days support prior to the start of Govt testing. For details, BNL, Brookhaven National Lab, Development and Eng Ctr, Ft Belvoir, VA. Due 90 days after contract award. Requests for add may be made by letter or telegram. All resp sources may submit a proposal which will be considered. The Govt reserves the right to make more than 1 award. (14)

Headquarters Contracting Officer, Attn: AMSTR-PBLL, 703/664-5148

A daily list of U.S. Government procurement invitations, contract awards, subcontracting leads, sales of surplus property and foreign business opportunities

sign modules (multichip-1), process development using multichip-1, and the evaluation of initial design modules on multichip-1. CPTF, twelve month effort contemplated. Sd to be issued a/s 06-15-87. See Note 22.

A - DIGITAL FILTER INTEGRATED CIRCUIT H66001-87-R-0325. Closing date a/s 07-15-87. Joyce Curve, 619/225-6758/6462. For copies of solic, notice. Only written requests will be honored. Attn: Data Negotiator, Julie Brooks Contracting Officer. Develop an ultra low power linear phase digital filter integrated circuit. Effort will involve development, analysis, design and simulation. A twelve month effort is contemplated, using a CPTF contract. Sd to be issued a/s 06-15-87. (14)

Headquarters Contracts Branch, Div of Contracts & Grants Management, HFA-512, 5600 Fishers Lane, Park Building, Rm 3-30, Rockville, MD 20857

A - ESTABLISHMENT OF METHODOLOGIES TO ASSESS DIFFERENCES IN COMPLICATION RATES FOR SELECTED PROSTHETIC MECHANICAL HEART VALVES Sd 223-87-6003. BOD: 6/30/87. Contract: Patricia Caldwell, 301/443-4420. Contr Officer, Cynthia A. Hawley, 301/443-4460. The objective of the contr is to identify and develop methodologies which can best examine possible differences in valve thrombosis, thromboembolism, and other adverse events among patients receiving different generic classes of prosthetic mechanical heart valve implants. Once the methodologies are evaluated and determined feasible, then perform a pilot study for one or more of these methodologies. This phase of the study will be performed in at least 2 clinical centers and must include an appropriate No. of patients. (14)

NASA-Ames Research Center, Mail Stop 241-1, Moffett Field, CA 94035

A - DESIGN, FABRICATION AND TESTING OF THROTTLE AND NOZZLE SERVOS AND SERVE CONTROL UNIT RFP-2-3018(CD) due 07-31-87. POC: Carole Davis, 415/694-5786, Ave N. Johnson, 415/694-5786. Throttle and nozzle servos and a serve control unit for V/STOL research airplane. This equipment will be installed in the YAV-8B which is being used as a V/STOL research airplane at NASA Ames Research Center, Moffett Field, CA. An additional throttle parallel servo and an additional nozzle parallel servo will be installed in an Integration and Test Facility (ITF) which will be used to develop and test flight hardware and software. Each of two Servo Control Units (SCU) will provide the capability to drive five existing attitude control servos, two attitude trim servos, the servos and parallel throttle servos and the servos and parallel nozzle servos. All responsible sources may submit a proposal which shall be considered by the agency. (14)

H Expert and Consultant Services

Natl Institute of Mental Health, Contracts Management Branch, CRIM, Parklawn Bldg, Rm 15-81, 5600 Fishers Lane, Rockville, MD 20857, Attn: Mary E. Blitstad, Contr Specialist, 301/443-2986

H - MENTAL HEALTH POLICY RESOURCE CENTER HMPA-PA-87-0026. POC: David J. Estepaz, 301/443-2956. The purpose of the effort is to pilot test for 3 years (to be funded incrementally subject to satisfactory performance availability of funds) the establishment of a Natl Mental Health Policy Center which will be responsive to the needs of federal, state and local communities for significant mental health policy info. The objectives are to (1) provide an objective, central source of info and other resources to analyze and develop options for policies in the mental health field for utilization by research/academic communities, natl mental health organizations, and state, local and fed and gov agencies, (2) collect, analyze, process and exchange info to support mental

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NOVEL MAGNETIC MATERIALS RESEARCH USING FREE ELECTRON LASERS*

S. D. Bader

Materials Science Division

Argonne National Laboratory, Argonne, Illinois 60439

October 1987

jmg

INVITED paper submitted to Optical Society of America Topical Meeting on
Free-Electron Laser Applications in the Ultraviolet, Cloudcroft, New Mexico,
March 2-5, 1988.

*Work supported by the U.S. Department of Energy, BES-Materials Sciences,
under Contract #W-31-109-ENG-38.

Novel Magnetic Materials Research Using Free Electron Lasers

S. D. Bader
Materials Science Division
Argonne National Laboratory
Argonne, IL 60439

Synchrotron-radiation sources have dramatically increased the research capabilities of materials scientists. This increase has motivated advances in source development, from the

- (i) early, "parasitic" stage, to the
- (ii) present-day, dedicated bending-magnet stage, to the
- (iii) proposed, all-insertion-device stage.

This latter development will permit spin-polarized photoelectron spectroscopy to become a convenient probe of magnetic materials. This form of spectroscopy warrants undulator radiation sources because electron spin detectors are inefficient by a factor of 10^{-4} compared to conventional photoelectron detection.¹ Thus, it becomes very exciting to consider the future scientific possibilities offered in the ultimate stage in the source progression: the realization of soft x-ray free electron lasers (FEL). In the following, broad examples of magnetic materials research opportunities are considered. Order-of-magnitude estimates are then given of source flux and spin-polarized signal level for an undulator and an FEL source. The comparison illustrates the impact expected of FEL sources on magnetic materials research in the future.

The classes of physical phenomena that can benefit from spin-polarized photoemission studies are diverse and include

- (i) the characterization of ground-state magnetic properties, and the challenge of testing local-density-theory predictions,²
- (ii) the area of surface magnetic critical phenomena,³ and
- (iii) the photo-excitation process itself, by adding the spin dimension to screening and resonant mechanistic studies.

The area of surface-modified magnetic order includes the characterization of

- (i) magnetic dead layers,
- (ii) enhanced magnetic moments at the surface, and even
- (iii) new types of magnetic order.

Model systems involve

- (i) surfaces of bulk materials,
- (ii) epitaxial ferromagnetic mono-, bi- and tri-layers, etc., and even

- (iii) one-dimensional magnetic chains, such as might be realized by lithographic techniques or by selectively adsorbing magnetic adatoms at ordered step sites of non-magnetic substrates.

The theoretical description of finite-temperature magnetism, and the role of short-range order and spin fluctuations presents an open challenge. The interplay of magnetism and

- (i) chemisorption,
- (ii) surface segregation,⁴
- (iii) surface order-disorder phenomena,⁵ and
- (iv) epitaxy and film growth,⁶ etc.

provide numerous problems requiring additional experimental elucidation. The method of epitaxial growth enables one to "atomically engineer" magnetic properties of interest by altering natural lattice constants, and by stabilizing unstable and new phases of bulk materials.⁷

The area of f-electron magnetism provides localized magnetic order in rare-earth metals, in contrast to the itinerant magnetism of transition metals, and the challenges of "heavy-fermion" behavior in certain cerium-, uranium-, and transuranic-based materials. Rare-earth materials often exhibit helical magnetic structures. Creating these materials in ultrathin form via epitaxy necessarily should induce new properties because the film thickness can be engineered to be less than the pitch of the helix. In the heavy-fermion systems the measurement of the width of the electronic structural features in the vicinity of the Fermi level is believed to be resolution limited at present.

The question of the nature of the amorphous state has benefitted recently from a deeper understanding of the structure of disordered materials. Magnetic-glass research poses the interesting possibility of assessing the role of magnetism in helping to stabilize the amorphous state. This is an area that is also at the interface of basic and applied research because of the technological applications of magnetic glasses. Other technological materials include magnetic recording media⁸ and magnetic catalysts⁹. It is known, for example, that chemical reaction rates can differ in character above and below the magnetic ordering temperature of the catalyst.

Magneto-optical materials are important in the future development of magnetic information technologies. Magneto-optical properties in the soft x-ray range have not been explored.¹⁰ Transition-metal-rare-earth systems should show interesting reversals of the magneto-optic coupling strength as the photon energy is increased. There is also great interest here in increasing the photon energy to core-level threshold energies. Non-linear magneto-optic effects and second-harmonic generation further contribute to the richness of opportunities. These studies can be pursued simultaneously with photoemission experiments.

Spin-polarized photoemission of dilute magnetic alloys potentially could provide a clear illustration of the fundamental interactions that lead to magnetic order. Even exotic host materials could be utilized, such as superconducting materials. Ternary superconductors and the new high- T_c oxide superconductors can tolerate a magnetic atom in each unit cell of the material. There is very little understanding of the influence of superconductivity on magnetic ordering. Usually the approach is applied in reverse, and the influence of magnetism in suppressing superconductivity is studied. Dilute magnetic systems could be created artificially, as well, via optical pumping techniques. This presumably could be done in much the same way as the molecular photophysicists and semiconductor researchers envision pump-probe experiments.

Having enumerated a variety of interesting topics in magnetic materials research, it is of interest to evaluate technical considerations to determine the appropriate source for a given experiment. For the undulator source the estimate presented below is based on a device on the VUV storage ring at the National Synchrotron Light Source (NSLS). This undulator is chosen because it is planned for installation at a beamline dedicated to spin-polarized photoemission. It is a hybrid, over 2 m long, with a period of 7.5 cm. It will be constructed of neodymium-iron-based permanent magnets and vanadium permendur poles. The FEL source used in the comparison is that conceptually designed at Los Alamos National Laboratory for operation on an rf linac. The comparison is made at 50 eV, which is a high photon energy for angle-resolved experiments. The rf-linac FEL flux drops approximately as the square of photon energy as photon energy increases in this range, so it is a conservative estimate of FEL performance when extended to lower energies. The undulator has a usable source flux of 4×10^{14} photons/sec/0.1% bandwidth. This is for an on-axis pinhole collection angle (0.2 mrad \times 0.2 mrad) that includes the natural opening angle of the radiation. The rf-linac FEL provides a factor of 10^3 increase in flux over that of the undulator, with the bandwidth measured in cm^{-1} units! The count rate at the spin detector from an iron sample is expected to be in the kHz and MHz range, respectively, for the undulator and FEL source. This takes into account the photoemission cross section and the spin detector efficiency. An additional consideration is that the undulator source requires a monochromator, while the FEL would not. Transmission losses in the monochromator are difficult to factor in, but they will be present. The comparison illustrates striking advantages of the FEL source. The flux is orders of magnitude improved over that of the undulator. The FEL source collimation and spectral and polarization purity are all improved over that of the undulator as well.

For spin-polarized photoemission studies the advance from the undulator to the FEL source is a development that parallels that which is occurring in conventional photoemission studies in going from bending-magnet sources to undulators. This latter advance has captured the imagination of the scientific community and motivated major advanced-source projects world-wide. The scientific case in support of such projects documents the need for undulator

radiation. The following materials research areas have, thus, been identified:

- (i) pump and probe experiments,
- (ii) dilute impurity studies,
- (iii) relaxation phenomenon, and
- (iv) photoelectron microscopy.

It is important to note that the spin-polarized analogue of each of these generic areas would require FEL sources to be comparably successful. Another critical point is that the areas of magnetic materials research that are already accessible with undulator radiation would be significantly improved by the availability of FEL sources. The improvements could qualitatively change the importance of the experiment. For instance, it would be interesting if the enhanced monochromaticity of the FEL source would reveal magnon sidebands on the photoelectron spectra.

While many of the areas considered above take advantage of photon-energy tunability and enhanced flux, the photoelectron microscope would directly benefit as well from the superior brilliance of the FEL source. The ability to focus the radiation within a magnetic domain eliminates the technical problem of magnetizing the sample. This is a non-trivial issue, since stray magnetic fields can deflect photoelectron trajectories in undesirable ways.

In addition, FEL sources provide the potential for opening up new scientific frontiers based on ideas that have yet to be conceived. Given the intellectual challenges these sources provide, such frontiers are expected to be encountered, and their exploration will undoubtedly be richly rewarding.

This work was supported by the U. S. Department of Energy, BES-Materials Sciences, under Contract No. W-31-109-ENG-38. The undulator development project at NSLS is supported by the ONR under Contract No. N00014-87-F-0022.

References:

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10. J. L. Erskine, Physica 89B, 83 (1977).

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